RECEIVED CENTRAL FAX CENTER

NOV 2 7 2006

FAX COVER SHEET

PLEASE CONFIRM RECEIPT OF THIS FACSIMILE



Attention: MAIL STOP APPEAL BRIEF - PATENTS

Group Art Unit: 2613

Examiner: VO, Tung T.

Supervisory Examiner: Chris Kelley

UNITED STATES PATENT AND TRADEMARK OFFICE

Phone: (571) 272-7340

Fax: (571) 273-8300

Alt: (571) 272-7331

Pages: Cover + 1 + 3 = 5 Date: November 27, 2006

From: Georgann S. Grunebach Fax: (310) 964-0941

Assistant General Counsel Phone: (310) 964-4615

The information contained in this facsimile is confidential and may also contain privileged attorney-client information or work product. The information is intended only for the use of the individual or entity to which it is addressed. If you are not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any use, dissemination, distribution or copying of this communication is strictly prohibited. If you have received the faceimile in error, please immediately notify us by telephone, and return the original message to us at the address below via the U.S. Postal Service. Thank you.

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that the correspondence identified above is being facsimile transmitted to (571) 273-8300 (Centralized Facsimile Number), addressed to: Mail Stop Appear Offief - Patents, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Alexandria of Patents, P.O. Box 1450, Alex

on November 27, 2006.

Georgany S. Grunebach, Reg. No. 33,179

(Printed/Name of Depositor)

November 27, 2006 (Date of Signature)

Attention: Commissioner for Patents

Attorney Docket No. PD-201157

Please find attached Re:

Serial No.: 10/074,765

Filed on: February 12, 2002

TRANSMITTAL FORM PTO/SB/21 (1 page)

> REPLY BRIEF IN RESPONSE TO EXAMINER'S ANSWER DATED SEPTEMBER 26, 2006 (3 pages)

If you do not receive all pages, or pages are not clear, please call Karen Lum at (310) 964-0735.

RECEIVED CENTRAL FAX CENTER

2002/005

PTO/SB/21 (09-06)

NOV 2 7 2006

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/074,765 TRANSMITTAL Filing Date February 12, 2002 First Named Inventor **FORM** Ashish Benerji Art Unit 2613 Examiner Name VO, Tung (to be used for all correspondence after initial filing) Attorney Docket Number PD-201157 Total Number of Pages In This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Allached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below); Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name OlfecTV Große, Inc Signature Printed name Georgann S. Grunebach Date Reg. No. November 27, 2006 33,179 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this corresponders is being fagsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an enveloge addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Georgann S. Grunebach November 27, 2006 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED CENTRAL FAX CENTER

2003/005

NOV 2 7 2006

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to (571) 273-8300 (Centralized Facsimile Number), addressed to Mail Stop Appeal Brief - Patents, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on November 27, 2006.

Date: November 27, 2006

Georgann \$. Grunebach, Reg. No. 33,179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

In re Application of:) Examiner: Vo, T.

Ashish BANERJI et al.

Application No.: 10/074,765 : Group Art Unit: 2613

Filed: February 12, 2002

For: SYSTEM AND METHODOLOGY FOR : November

VIDEO COMPRESSION

November 27, 2006

REPLY BRIEF

Mail Stop Appeal Brief - Patents Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

This Reply Brief is submitted in response to the Examiner's Answer mailed September 26, 2006.

I. THE EXAMINER CONTINUES TO MISCONSTRUE THE CLAIMED VIDEO DATA SET

For example, claim 1 recites "grouping video frames that are only between consecutive I-frames into a video data set." Claim 21 recites "splitting the video data set consisting of non-intra video frames into a plurality of data sequences."

The Examiner fails to appreciate that the GOP (which is equated to the claimed video set) of the *Gonzales et al.* system does contain "video frames that are **only** between consecutive I-frames" because the GOP includes I-frames (as well as frames that are between the I-frames). By the Examiner's own admission the GOP is inclusive of I-frames, and thus,

Ø 004/005

NOV 2 7 2006

Serial No. 10/074,765

Patent Attorney Docket No.: PD-201157

cannot be the claimed video data set. To mitigate this contradiction, the Examiner's appears to offer two theories: (1) the I-picture can be defined as an inter, rather than an "intra" picture; and (2) the claim term "only" is inherently included.

As to the first theory, the Examiner's Answer, on page 11, now states "each GOP must start with an I-picture/frame, which is non-intra picture/frame as inter picture/frame." At best as understood, the Examiner is suggesting that the I-picture can be an "inter picture/frame." However, there is no support in *Gonzales et al.* for such an interpretation; rather, *Gonzales et al.* defines, within col. 3, lines 34-49, the GOP and I-frame as follows (Emphasis Added):

Within a GOP, three types of pictures can appear. The distinguishing difference among the picture types is the compression method used. Intramode pictures or I-pictures are compressed independently of any other picture. Although there is no fixed upper bound on the distance between I-pictures, it is expected that they will be interspersed frequently throughout a sequence to facilitate random access and other special modes of operation. Each GOP must start with an I-picture and additional I-pictures can appear within the GOP. The other types of pictures, predictively motion-compensated pictures (P-pictures) and bidirectionally motion-compensated pictures (B-pictures), will be described in the discussion on motion compensation below. A general illustration is shown in FIG. 5.

Thus, there is no support for the semantic maneuvers of the Examiner – i.e., an I-picture is an intramode picture, not an "inter" mode picture as suggested by the Examiner. Although the Examiner is entitled to give claims their broadcast reasonable interpretation, this doctrine does not extend to reading the references broadly.

The Wu et al. reference also adopts the standard definition of GOP. Accordingly, the GOP in the Wu et al. system cannot be the claimed video data set, as the GOP includes I-frames.

With respect to the second point, the Examiner's argument is without any legal basis in drawing the conclusion that "the term only is inherently included when grouping the video frames is set [sic]." Appellant is not certain what the Examiner is arguing; however, it appears that the Examiner is attempting to justify ignoring the term "only." Under this theory, the notion

11/27/2006 17:46 FAX 3109640941

P & L LEGAL

RECEIVED CENTRAL FAX CENTER

2005/005

NOV 2 7 2006

Serial No. 10/074,765

Patent Attorney Docket No.: PD-201157

that the term "only" is an inherent feature would negate use of such qualifiers in the first place. This has no grounding in the patent laws and rules for such a construction.

Regarding claim 21, the Examiner again reads out a claim term, that of "consists of." The claimed video data set "consists of non-intra frames." This closed term defines the video data set in a restricted manner, excluding intra frames. Nevertheless, the Examiner persists in equating the GOP, which includes I-frames, to the claimed video data set.

II. THE EXAMINER HAS NOT SHOWN THAT THE DEFICIENCES OF WU ET AL. ARE MET BY MORONEY ET AL.

For example, claim 10 recites use of "a YK algorithm." The Examiner's Answer simply concludes, on pages 14-15, that "wherein the grammar encoding would obviously have YK algorithm to encode the homogeneous files." A detailed study of the Moroney et al. references reveals no mention of even the terms "YK algorithm," much less in the context of the claims. The Examiner has not provided the necessary evidentiary support required to establish a prima facie case of obviousness.

III. CONCLUSION

Appellant, therefore, requests the Honorable Board to reverse the Examiner's rejection.

ully sylbmitted.

Seorgann S. Grunebach Attorney for Applicant Registration No. 33,179

The DIRECTV Group, Inc. CA/LA1/A109 2230 E. Imperial Highway P. O. Box 956 El Segundo CA 90245

Telephone No. (310) 964-4615